

Express Mail Mailing Number (optional):

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PENE			App	olication Number	10/731,916		
				ng Date	December 10, 2003		
TRANSMITTAL FORM				st Named Inventor	Mark Pears	on	
(to be used for all correspondence during pendency of filed application)			Gro	oup Art Unit Number	2163		
			Exa	aminer Name	Helene Rob	erta Rose	
Total Number of Pag	es in This Submission	8*	Atto	orney Docket Number	24207-1006	4	
	ENCL	OSURES	(c	heck all that apply	<u>/)</u>		
Return Receipt Response to Not Assignment & F Declaration Power of Attorn Application Data Supplemental In PTO/SB/08A Copie Request for Cor Request for Cor Amendment/Re After Status Request	expectation of Recorded Assessing Paragraphic Recordation Cover Sheet a Sheet Information Disclosure Ses of IDS Cited Non-Paterrected Filing Receipt Prection of Recorded Assesponse: [ ] Page(s) Final	tatement & ent Referenc signment	ees	Appeal Commun Interferences Appeal Commun	raftsperson s): s) of Figure(s) [ ication to Board ication to Group Brief, Reply Brief Priority Docume	of Appeals and	
REMARKS: *Page o	ount does not include ci	ted reference	es.				
	SIGNA	TURE OF	ATT	ORNEY OR AGE	NT		
Signature:	Sol	Se	2e				
Attorney/Reg. No.:	Sabra-Anne R. Trues	dale, Reg. N	lo. 5	5,687	Dated:	9/6/76	
CERTIFICATE OF MAILING  I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.							
Signature:	× Sah	<b>)</b>	<u> </u>	e	Dated:	dilw-	
Typed or Printed Nar	ne:   Sabra-Anne R.	ruesdale			ı Dated: 1	7/11/10/	



## TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180

		Complete if Known	
	Application Number	10/731,916	
	Filing Date	December 10, 2003	
	First Named Inventor	Mark Pearson	
	Examiner Name	Helene Roberta Rose	
	Art Unit	2163	
-	Attorney Docket No.	24207-10064	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None     ☐ Deposit Account:	3. ADDITIONAL FEES					
Deposit Account Number 19-2555	<u>Large E</u>	Entity	Small E	ntity	Fee Description	Fee Paid
Deposit Account Name Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is authorized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath or declaration	
Charge fee(s) indicated below Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Charge all required fee(s) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification	
under 37 CFR §1.16 or §1.17 during the pendency of this application	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee to	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE	1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity	1253	1020	2253	510	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	
Code (\$)   Code (\$)	1255	2,160		1,080	Extension for reply within fifth month	
	1401	500 500	2401	250	Notice of Appeal	
[   ——————————————————————————————	1402 1403	1000	2402	250 500	Filing a brief in support of an appeal  Request for oral hearing	<b></b>
	1451	1,510		1,510	Petition to institute a public use proceeding	
	1452	500	2452	250	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims -20**= X =	1503	1100	2503	550	Plant issue fee	
Independent Claims X = =	1460	_	1460	_	Petitions to the Director	
Multiple Dependent =	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 50 2202 25 Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200 2201 100 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360 2203 180 Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25 **Reissue claims in excess of 20 and over original patent	Other fo	ee (specif	y)			
SUBTOTAL (2) (\$) 0					SUBTOTAL (3) (\$) 180	
or number previously paid, if greater; For Reissues, see above	*Reduce	ed by Basic	c Filing Fe	e Paid		

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Sabra-Anne R. Truesdale	Registration No. (Attorney/Agent)	55,687		Tele	phone	e (650) 335-7187
Signature	Sandl	1 2000				6	06

SEP 1 1 2008 HE UNITED STATES PATENT AND TRADEMARK OFFICE

ABBLICAM

Mark Pearson et al.

APPLICATION NO.:

10/731,916

FILING DATE:

December 10, 2003

TITLE:

Methods and Systems for Information Extraction

**EXAMINER:** 

Helene Roberta Rose

GROUP ART UNIT:

2163

ATTY. DKT. NO.:

24207-10064

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Anne R. Truesdale, Reg. No.: 55,687

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry
into the national stage of an international application, or before the mailing
date of a first office action on the merits, whichever event last occurred;
before the mailing of a first official action after the filing of a request for
continued examination (RCE) under 37 CFR § 1.114;

09/13/2006 EFLORES 00000019 10731916

$\boxtimes$	after th	ree mo	onths of the filing date of this national application or the date					
	of entr	y of the	e national stage in an international application, or after the					
	mailin	g date o	of the first official action on the merits, whichever event last					
	occurr	occurred, but before the mailing date of the first to occur of either: (1) a						
	final a	ction u	nder 37 CFR §1.113; or (2) an action that otherwise closes					
	prosec	ution ir	the application, and:					
	$\boxtimes$	attacl	ned hereto is the fee set forth under 37 CFR §1.17(p) for					
		subm	sission of this Information Disclosure Statement under 37					
		CFR.	§ 1.97(c); OR					
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:					
			each item of information contained in this Information					
			Disclosure Statement was first cited in a communication					
			from a foreign patent office in a counterpart foreign					
			application not more than three months prior to the filing of					
			this Statement; OR					
			no item of information contained in this Information					
			Disclosure Statement was cited in a communication from a					
			foreign patent office in a counterpart foreign application					
			and, to the knowledge of the person signing this					
			certification after making reasonable inquiry, no item of					
			information contained in this Statement was known to any					
			individual designated under 37 CFR § 1.56(c) more than					
			three months prior to the filing of this Statement;					
	on or	before	the payment of the issue fee but after the mailing date of the					
	first t	o occur	of either: (1) a final action under 37 CFR § 1.113; (2) a					
	notice	e of allo	owance under 37 CFR § 1.311; or (3) an action that otherwise					
	closes	s prosec	cution in the application, and:					
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:					
			each item of information contained in this Information					
			Disclosure Statement was cited in a communication from a					
			foreign patent office in a counterpart foreign application not					
			more than three months prior to the filing of this Statement;					
			no item of information contained in this Information					
			Disclosure Statement was cited in a communication from a					
			foreign patent office in a counterpart foreign application					
			and, to the knowledge of the person signing this					

				certific	cation after making reasonable inquiry, no item of	
				inform	nation contained in this Statement was known to any	
				indivi	dual designated under 37 CFR § 1.56(c) more than	
				three r	months prior to the filing of this Statement; AND	
				attached heret	to is the fee set forth under 37 CFR §1.17(p) for	
			_		f this Information Disclosure Statement under 37	
				CFR.§ 1.97(d		
			after th	- '	the issue fee. Applicant requests that the information	
					rmation Disclosure Statement be placed in the file	
					§ 1.97(i), although the information may not be	
				ered by the US		
		Enclos		•	sted reference that may be material to the	
					on, and for which there may be a duty to disclose.	
		This ar	plication	on relies, under	r 35 U.S.C. § 120, on the earlier filing date of prior	
	<del></del>	_	•	•	d on, and the references cited therein are	
					ot required to be provided in this application under 37	
		_	1.98(d)		•	
	$\boxtimes$	Copies of any foreign patent documents and non-patent literature cited herein are				
		enclose	ed.		en e	
		Each it	tem of i	nformation cor	ntained in this Information Disclosure Statement was	
		cited in	n a com	munication fro	om a foreign patent office in a counterpart application,	
		and the	comm	unication was	not received by any individual designated in 37 CFR	
		§ 1.56	(c) more	e than thirty da	ys prior to the filing of this Information Disclosure	
		Statem	ent. 37	CFR § 1.704(	(d).	
		Applic	ant sub	mits that no fe	e is required for the consideration of this Information	
		Disclo	sure Sta	itement.	•	
	Consid	leration	of the l	isted reference	es and favorable action are solicited.	
					Respectfully submitted,	
					MARK PEARSON ET AL.	
Dated:	9	12/2	-/-		By: Apple	
Daite.		( -( -	-		Sabra-Anne R. Truesdale, Reg. No.: 55,687	
					Fenwick & West LLP	
					Silicon Valley Center 801 California Street	
					Mountain View, CA 94041	
					Tel.: (650) 335-7187	
					Fax.: (650) 938-5200	



Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known					
Application No. 10/731,916					
Filing Date December 10, 2003					
First Named Inventor	Mark Pearson				
Art Unit	2163				
Examiner Name	Helene Roberta Rose				
Attorney Docket Number	24207-10064	J			

	U.S. PATENT DOCUMENTS						
		Document No.					
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	A1	US-7,080,070	07-18-2006	Gavarini			
	A2	US-7,076,443	07-11-2006	Emens et al.			
· ·	A3	US-7,058,598	06-06-2006	Chen et al.			
	A4	US-2005/0075940 A1	04-07-2005	DeAngelis			
	A5	US-2005/0071255 A1	03-31-2005	Wang et al.			
	A6	US-2005/0021997 A1	01-27-2005	Benyon et al.			
	A7	US-6,732,161	05-04-2004	Hess et al.			
	A8	US-2003/0167209 A1	09-04-2003	Hsieh			
	A9	US-6,615,184	09-02-2003	Hicks			
	A10	US-2003/0050865 A1	03-13-2003	Dutta et al.			
	A11	US-2001/0056418 A1	12-27-2001	Youn Seok Ho			
	A12	US-6,332,135	12-18-2001	Conklin et al.			
	A13	US-6,298,174	10-02-2001	Lantrip et al.			
	A14	US-6,237,011	05-22-2001	Ferguson et al.			

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document				
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>	

	OTHER REFERENCES NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>			
	C1	BizRate.com web page, as provided by Internet Archive Wayback Machine at http://web.archive.org/web/20030101-20030922re_/http://bizrate.com/, as published between 01/01/2003 and 09/22/2003.				
	C2	DealTime.com web page, as provided by Internet Archive Wayback Machine at				

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO			VPTO	Complete if Known		
INEO		יסוח ו	CLOSUPE	Application No.	10/731,916	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 10, 2003	
				First Named Inventor	Mark Pearson	
				Art Unit	2163	
				Examiner Name	Helene Roberta Rose	
Sheet	2	of	2	Attorney Docket Number	24207-10064	

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magaingurnal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country who published			
		http://web.archive.org/web/20030101-20030922re_/http://dealtime.com/, as published between 01/01/2003 and 09/22/2003.			
	C3	SHERMAN, C., "Yahoo! Launches New Product Search," September 23, 2003, SearchEngineWatch, [online] [Retrieved on September 1, 2006] Retrieved from the Internet <url:http: searchenginewatch.com="" showpage.html?page="3081551"></url:http:>			
	C4	Yahoo Shopping web page, as provided by Internet Archive Wayback Machine at http://web.archive.org/web/20030101-20030922re_/http://shopping.yahoo.com/, as published between 01/01/2003 and 09/22/2003.			

Examiner Signature	Date Considered	

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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.